

**complete copy of the original
Preliminary Amendment filed January
10, 2007.**

THE UNITED STATES PATENT AND TRADEMARK OFFICE

MENDELSON, FRED M.

Serial No: 10/731,798

Group Art: 1755

Date Filed: December 9, 2003

Examiner: C. Koslow

For: **Phosphors Formulation For Fluorescent Lamps**

PRELIMINARY AMENDMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

Enclosed is a **Preliminary Amendment** to the Specification and claims for the REQUEST FOR CONTINUED EXAMINATION for the subject application.

Attached is a Preliminary Amendment to the Specification.

Attached is a complete listing of all claims in ascending numerical order. Each claim has been provided with the proper status identifier.

Attached is a complete copy of the original Application filed December 9, 2003.

**UNITED STATES PATENT
APPLICATION**

**Phosphors Formulation For Formulation For
Fluorescent Lamps**

Be it known that I, FRED M. MENDELSON, a citizen of the United States of America and a resident of Tampa in the State of Florida, have invented new and useful improvements in the above entitled invention the following of which is a specification in full, clear and exact terms to enable one skilled in the art to make and use the same.

ABSTRACT OF THE INVENTION

~~A combination of phosphors~~ A combination of components formulated to coat the inside of a fluorescent lamp to emit light having a spectral distribution to enhance effective pupil lumen comprising effective amounts of

5 strontium boride, yttrium oxide, barium yttrium, europium, terbium, barium borate and calcium having a peak luminescence in the scotopic range.

CROSS REFERENCE APPLICATION

This is a non-provisional patent application of provisional patent application Serial Number 60/520217, filed November 14, 2003.

BACKGROUND OF THE INVENTION

5 Field of the Invention

~~A combination of phosphors~~ A combination of components formulated to coat the inside of a fluorescent lamp.

Description of the Prior Art

Historically, the output of artificial lighting has been measured for
10 photopic content of the light while generally ignoring the effect of the scotopic content.

The process of selecting and combining phosphors for fluorescent lamps is not new or unique. Unfortunately, however, relatively recent scientific knowledge relating to visual acuity and fluorescent technology has not been
15 effectively applied commercially.

Research has demonstrated that by fine tuning the light spectrum, light levels can be reduced without compromising visual acuity and color response. Due to the physiological aspects of the eye, it is possible to decrease light levels without reducing performance by controlling the spectral distribution of
20 artificial lighting. As a result energy savings achieved by relative efficacy of light production (lumens) of spectrally modified lamps.

In particular, the retina of the eye has both rod and cone receptors. The rods operate at low light levels, the cones operate at high light levels, and both operate over a range at intermediate light levels. Rods (night vision) do not provide color response or high visual acuity. On the other hand, cones
5 provide color vision and the acuity necessary for reading and seeing small detail.

Photopic lumens are based on cone sensitivity, while scotopic lumens are based on rod sensitivity. In photopic conditions, wavelengths near 550 nanometers appear to be brighter than those near 500 nanometers. The
10 reverse is true in scotopic conditions where wavelengths near 510 nanometers are brighter than those near 550 nanometers.

For example during the day, yellow objects appear lighter, but as the sun goes down and the scotopic comes into play green objects appear lighter. At dusk, illumination is low enough for the scotopic effect to operate, but still
15 high enough for the photopic to also operate.

Since cones and rods both contribute to visual acuity S/P (scotopic/photopic ratios) are a useful tool in analyzing the effectiveness of a light source on visual acuity.

Examples of past efforts to improve the source of indoor lighting are
20 found in numerous patents.

U.S. 3,670,193 describes electric lamps having spectral radiation characteristics approximating natural daylight with a controlled amount of

energy in the near and middle ultraviolet ranges which also produce light of sufficient intensity and proper color to make them usable as general illuminants.

U.S. 4,891,550 teaches a full spectrum fluorescent lamp having a
5 phosphor coating for producing visible light having a high color rendering index and balanced amounts of ultraviolet energy at the same correlated color temperature in which the coating is formed of two groups of phosphors.

U.S. 4,174,294 shows a fluorescent material comprising an alkaline earth metal boron phosphate activated by a divalent europium compound.

10 U.S. 5,122,710 describes a phosphor blend for a fluorescent lamp having four rare earth phosphors each of which emits a narrow band of visible light energy in the visible spectrum. The color of the light produced by three of the phosphors is red, blue and green. The fourth phosphor producing light in the blue-green range improves the color rendering index of the visible light
15 output without seriously affecting the lumen output as compared to a blend in which only the red-blue-green phosphors are used. A fifth rare earth narrow band phosphor capable of producing energy in the ultraviolet A range can be added to the blend when it is desired to simulate various phases of natural daylight. The band of ultraviolet range energy produced can be smoothed by
20 adding to the blend another phosphor capable of producing energy in the ultraviolet range.

Regardless of these efforts there remains a need to develop a
~~combination of phosphors for use~~ combination of compounds for use in a
fluorescent lamp to emit a wavelength of light optimized for human visual
acuity which is optimized at about 510 nanometers. At this wavelength, the
5 amount of scotopic lumens that affects the rod receptors in the eye is
maximized. The light emitted ~~from this phosphor combination~~ from this
combination causes the pupils of the eye to contract without the presence of
painful glare and an overabundance of bright light, thereby enabling the
human eye to see with greater clarity, efficiency and better focus. This optimal
10 efficiency of the human eye generated by the high scotopic lumens, is
produced in an environment of lower photopic lumens, which normally would
be construed as lower ambient light, thereby utilizing less electrical energy
than comparable lighting sources.

SUMMARY OF THE INVENTION

The present invention relates to ~~a composition of phosphors a~~
composition of compounds formulated to coat the inside of a fluorescent lamp
to enhance effective pupil lumens for improved human visual acuity.

5 The selection, combination and ~~utilization of certain rare earth~~
~~phosphors~~ utilization of certain compounds can be formulated for use in a
fluorescent lamp to peak length of about 510 nanometers. The light emitted
~~from such a phosphor combination~~ from such a combination causes the pupils
of the eye to contract without the presence of painful glare and an over
10 abundance of bright light, enabling the human eye to see with greater clarity,
efficiency and better focus. This optimal efficiency of the human eye
generated by the high scotopic lumens is produced in an environment of
lower photopic lumens, which normally would be construed as lower ambient
light, thereby utilizing less electrical energy than comparable lighting sources.

15 ~~The formulation of phosphor~~ The formulation of compounds
combination of the present invention ~~comprises seven phosphors, which when~~
comprises seven compounds, which when emulsified and used to coat the
inside of a fluorescent lamp, will emit light in a wavelength from about 505
nanometers to about 515 nanometers which has been demonstrated to be the
20 optimal wavelength for human visual acuity. ~~The seven phosphors are~~ The
seven compounds are strontium boride, yttrium oxide, barium yttrium oxide,
europium, terbium, barium borate and calcium.

~~This combination of phosphors results~~ This combination of compounds
results in a power distribution including a major peak, a minor peak separated
by a trough.

5 The process of emulsifying ~~the phosphors comprises of creating the~~
compounds comprises of creating a binder with water and polyethylene
chloride with an adhesive slurry. After the binder ~~has blended, phosphors are~~
~~added~~ has blended, compounds are added to the binder. The pH is elevated,
and the mixture is blended. At completion of the blending the mixture is
filtered and is suitable for coating fluorescent lamps.

10 The invention accordingly comprises the features of construction,
combination of elements, and arrangement of parts which will be exemplified
in the construction hereinafter set forth, and the scope of the invention will be
indicated in the claims.

BRIEF DESCRIPTION OF THE DRAWINGS

For a fuller understanding of the nature and object of the invention, reference should be had to the following detailed description taken in connection with the accompanying drawings in which:

5 Figure 1 is a graph showing the power distribution of the present invention.

 Figure 2 is a tabular representation of various lamps and operating characteristics.

 Similar reference characters refer to similar parts throughout the
10 several views of the drawings.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention relates ~~to a composition of phosphors formulated~~
to a composition of compounds formulated to coat the inside of a fluorescent
lamp to enhance effective pupil lumens for improved human visual acuity.

5 Studies have determined that human visual acuity is a function of both
scotopic and photopic lumens. It has been observed the visual acuity is
optimized at a light wavelength of about 510 nanometers. At this wavelength,
the amount of scotopic lumens which affects the rod receptors in the eye is
maximized.

10 The selection, combination and ~~utilization of certain rare earth~~
~~phosphors~~ utilization of certain compounds can be formulated for use in a
fluorescent lamp to peak length of about 510 nanometers. The light emitted
~~from such a phosphor combination~~ from such a compound combination
causes the pupils of the eye to contract without the presence of painful glare
15 and an over abundance of bright light, enabling the human eye to see with
greater clarity, efficiency and better focus. This optimal efficiency of the
human eye generated by the high scotopic lumens is produced in an
environment of lower photopic lumens, which normally would be construed as
lower ambient light, thereby utilizing less electrical energy than comparable
20 lighting sources.

 The selecting and combining ~~of various phosphors for use~~ of various
compounds for use in fluorescent is not new or unique. Unfortunately, the

combination of the body of scientific knowledge surrounding visual acuity with fluorescent technology, to produce a light emitter that helps people to see as well as the human eye can see, has not been done commercially. Heretofore, the proper ~~combination of phosphors that could yield~~ combination of compounds that could yield the result of light emitted at the optimum wavelength of about 510 has been unknown.

~~The formulation of phosphor combination~~ The formulation of compound combination of the present invention ~~comprises seven phosphors, which when~~ comprises seven compounds, which when emulsified and used to coat the inside of a fluorescent lamp, will emit light in a wavelength from about 505 nanometers to about 515 nanometers which has been demonstrated to be the optimal wavelength for human ~~visual acuity~~. The seven phosphors are visual acuity. The seven compounds are strontium boride, yttrium oxide, barium yttrium oxide, europium, terbium, barium borate and calcium in relative proportions as shown in Table 1 below.

TABLE 1

<u>Compound</u>	<u>Formula</u>	<u>Element Color(s)</u>	<u>Percentage by Weight</u>
Strontium Boride	SrB_6	Red/Green	46%
Yttrium Oxide	Y_2O_3	Red	24%
Barium Yttrium Oxide	$\text{Ba}_4\text{Y}_2\text{O}_7$	Green/Red	24%

Europium	Eu	Red	2%
Terblum	Tb	Green	2%
Barium Borate	Ba ₄ B ₂ O ₇	Green	1%
Calcium	Ca	Yellow	1%

As shown in FIG. 1, this ~~combination of phosphors results in a power~~
combination of compounds results in a power distribution having a major peak
of at least 30 percent of the total power at about 510 nanometers, a minor
peak of at least 15 percent of the total power at about 610 nanometers and a
5 trough of less than 10 percent of the total power at about 575 nanometers. As
depicted, the percentage of power at the major peak is at least 5 times the
percentage of power at the trough and at least 1.5 times the percentage of
power at the minor peak.

Figure 2 illustrates the relative effectiveness of the present invention
10 referred to as Ott-Lite Sample (9) compared to other lamps. As shown at
about 510 nanometers or optimum wavelength, the photopic lumens and
scotopic lumens are about 0.0050 and about 0.0115 respectively with a
scotopic lumen to photopic lumen ratio about 2.31. The resulting effective
pupil lumens are about 801.169 and the effective pupil lumens per watt are
15 about 44.509.

The process of ~~emulsifying the phosphors comprises~~ emulsifying the
compounds comprises of creating a binder with de-ionized water and
polyethylene chloride in a ratio of 1.5 kilograms of polyethylene chloride to 100

liters of water and 3 kilograms of adhesive slurry. This is mixed at 100 revolutions per minute for three hours. After the binder has blended, 30 kilograms of phosphors that 30 kilograms of compounds that have been previously measured in the above proportions are added to the binder. A 15% ammonia water solution is added until the pH is elevated to 9, and the mixture is blended for three hours at 100 rpm. At completion of the blending the mixture is filtered through a #200 stainless steel mesh net and is suitable for coating fluorescent lamps.

It will thus be seen that the objects set forth above, among those made apparent from the preceding description are efficiently attained and since certain changes may be made in the above construction without departing from the scope of the invention, it is intended that all matter contained in the above description or shown in the accompanying drawing shall be interpreted as illustrative and not in a limiting sense.

It is also to be understood that the following claims are intended to cover all of the generic and specific features of the invention herein described, and all statements of the scope of the invention which, as a matter of language, might be said to fall therebetween.

Now that the invention has been described,

1 **Claim 1 through Claim 28 (cancelled)**

1 **Claim 29. (new)** A combination of compounds formulated to coat the inside
2 of a fluorescent lamp to emit light having a spectral distribution to enhance
3 effective pupil lumens comprising: strontium boride, yttrium oxide, barium
4 yttrium oxide, europium, terbium, barium borate and calcium having a peak
5 luminescence in the scotopic range, wherein the percentages by weight of
6 the combination of compounds are about 46 percent strontium boride,
7 about 24 percent each of yttrium oxide and barium yttrium oxide, about 2
8 percent each of europium and terbium, and about 1 percent each of barium
9 borate and calcium.

1 **Claim 30. (new)** The combination of compounds of Claim 29 wherein the
2 effective pupil lumens per watt is about 44.509.

1 **Claim 31. (new)** The combination of compounds of Claim 30 wherein the
2 scotopic lumen to the photopic lumen ratio is about 2.31.

1 **Claim 32. (new)** The combination of compounds of Claim 29 wherein the
2 major peak is between 490 and 560 nanometers.

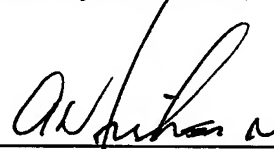
1 **Claim 33. (new)** The combination of compounds of Claim 32 wherein the
2 minor peak is between 590 and 630 nanometers.

- 1 **Claim 34. (new)** The combination of compounds of Claim 33 wherein the
- 2 trough is between 560 and 590 nanometers.

REMARKS

This PRELIMINARY AMENDMENT amends the Specification and cancels all original claims and adds new claims. Attached is a REQUEST FOR CONTINUED EXAMINATION for the subject application. Also attached is a complete copy of the original Application filed December 9, 2003.

Respectfully submitted,



ARTHUR W. FISHER, III
Registration No. 26,453

AWF: dmas
5553 West Waters Ave. #316
Tampa, Florida 33634-1212
(813) 885-2006
Date: January 10, 2007